(12)

#### **EUROPEAN PATENT APPLICATION**

(43) Date of publication: 06.12.2000 Bulletin 2000/49

- (51) Int Cl.7: A61B 5/00, G06F 19/00
- (21) Application number: 00201939.6
- (22) Date of filing: 31.05.2000
- (84) Designated Contracting States:

  AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU

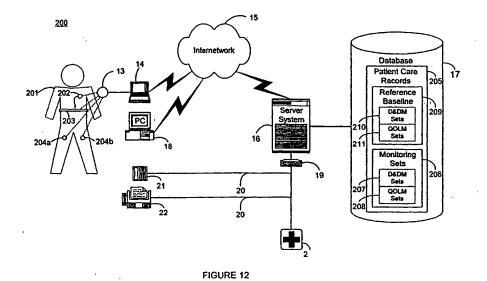
  MC NL PT SE

  Designated Extension States:

  AL LT LV MK RO SI
- (30) Priority: 03.06.1999 US 324894 31.12.1999 US 476602

- (71) Applicant: Bardy, Gust H. Seattle, WA 98199-3017 (US)
- (72) Inventor: Bardy, Gust H. Seattle, WA 98199-3017 (US)
- (74) Representative: Hanna, Peter William Derek et al Tomkins & Co.,
   5 Dartmouth Road Dublin 6 (IE)
- (54) System and method for automated collection and analysis of regularly retrieved patient information for remote patient care
- (57) A system and method for automated collection and analysis of regularly retrieved patient information for remote patient care is described. A set of collected measures (50) retrieved on a substantially regular basis is periodically received from a medical device (12,202,203,204) having a sensor for monitoring at least one physiological measure (71-78,207,210) or quality of life measure (208,211) of an individual patient (11,201). The collected measures set includes individual measures which each relate to patient information recorded

by the medical device. The collected measures set is stored into a patient care record (205) for the individual patient within a database (17) organized to store one or more patient care records which each include a plurality (206,209) of the collected measures sets. One or more of the collected measures sets (206) in the patient care record for the individual patient is analyzed relative to one or more other collected measures sets (209) stored in the database to determine a patient status indicator (54).



Printed by Jouve, 75001 PARIS (FR)

#### Field of the Invention

[0001] The present invention relates in general to automated data collection and analysis, and, in particular, to a system and method for automated collection and analysis of regularly retrieved patient information for remote patient care.

1

#### **Background of the Invention**

[0002] A broad class of medical subspecialties, including cardiology, endocrinology, hematology, neurology, gastroenterology, urology, ophthalmology, and otolaryngology, to name a few, rely on accurate and timely patient information for use in aiding health care providers in diagnosing and treating diseases and disorders. Often, proper medical diagnosis requires information on physiological events of short duration and sudden onset, yet these types of events are often occur infrequently and with little or no warning. Fortunately, such patient information can be obtained via external, implantable, cutaneous, subcutaneous, and manual medical devices, and combinations thereof. For example, in the area of cardiology, implantable pulse generators (IPGs) are commonly used to treat irregular heartbeats, known as arrhythmias. There are three basic types of IPGs. Cardiac pacemakers are used to manage hradycardia, an abnormally slow or irregular heartbeat. Bradycardia can cause symptoms such as fatigue, dizziness, and fainting. Implantable cardioverter defibrillators (ICDs) are used to treat tachycardia, heart rhythms that are abnormally fast and life threatening. Tachycardia can result in sudden cardiac death (SCD). Finally, implantable cardiovascular monitors and therapeutic devices are used to monitor and treat structural problems of the heart, such as congestive heart failure and rhythm problems.

[0003] Pacemakers and ICDs, as well as other types of implantable and external medical devices, are equipped with an on-board, volatile memory in which telemetered signals can be stored for later retrieval and analysis. In addition, a growing class of cardiac medical devices, including implantable heart failure monitors, implantable event monitors, cardiovascular monitors, and therapy devices, are being used to provide similar stored device information. These devices are able to store more than thirty minutes of per heartbeat data. Typically, the telemetered signals can provide patient device information recorded on a per heartbeat, binned average basis, or derived basis from, for example, atrial electrical activity, ventricular electrical activity, minute ventilation, patient activity score, cardiac output score, mixed venous oxygen score, cardiovascular pressure measures, time of day, and any interventions and the relative success of such interventions. In addition, many such devices can have multiple sensors, or several devices can work together, for monitoring different sites within a patient's body.

[0004] Presently, stored device information is retrieved using a proprietary interrogator or programmer, often during a clinic visit or following a device event. The volume of data retrieved from a single device interrogation "snapshot" can be large and proper interpretation and analysis can require significant physician time and detailed subspecialty knowledge, particularly by cardiologists and cardiac electrophysiologists. The sequential logging and analysis of regularly scheduled interrogations can create an opportunity for recognizing subtle and incremental changes in patient condition otherwise undetectable by inspection of a single "snapshot." However, present approaches to data interpretation and understanding and practical limitations on time and physician availability make such analysis impracticable.

[0005] A prior art system for collecting and analyzing pacemaker and ICD telemetered signals in a clinical or office setting is the Model 9790 Programmer, manufactured by Medtronic, Inc., Minneapolis, MN. This programmer can be used to retrieve data, such as patient electrocardiogram and any measured physiological conditions, collected by the IPG for recordation, display and printing. The retrieved data is displayed in chronological order and analyzed by a physician. Comparable prior art systems are available from other IPG manufacturers, such as the Model 2901 Programmer Recorder Monitor, manufactured by Guidant Corporation, Indianapolis, IN, which includes a removable floppy diskette mechanism for patient data storage. These prior art systems lack remote communications facilities and must be operated with the patient present. These systems present a limited analysis of the collected data based on a single device interrogation and lack the capability to recognize trends in the data spanning multiple episodes over time or relative to a disease specific peer group.

[0006] A prior art system for locating and communicating with a remote medical device implanted in an ambulatory patient is disclosed in U.S. Patent No. 5,752,976 ('976). The implanted device includes a telemetry transceiver for communicating data and operating instructions between the implanted device and an external patient communications device. The communications device includes a communication link to a remote medical support network, a global positioning satellite receiver, and a patient activated link for permitting patient initiated communication with the medical support network.

[0007] Related prior art systems for remotely communicating with and receiving telemetered signals from a medical device are disclosed in U.S. Patent Nos. 5,113,869 (-869) and 5,336,245 ('245). In the '869 patent, an implanted AECG monitor can be automatically interrogated at preset times of day to telemeter out accumulated data to a telephonic communicator or a full disclosure recorder. The communicator can be automat-

ically triggered to establish a telephonic communication link and transmit the accumulated data to an office or clinic through a modem. In the '245 patent, telemetered data is downloaded to a larger capacity, external data recorder and is forwarded to a clinic using an auto-dialer and fax modem operating in a personal computer-based programmer/interrogator. However, the '976 telemetry transceiver, '869 communicator, and '245 programmer/interrogator are limited to facilitating communication and transferal of downloaded patient data and do not include an ability to automatically track, recognize, and analyze trends in the data itself.

[0008] In addition, the uses of multiple sensors situated within a patient's body at multiple sites are disclosed in U.S. Patent No. 5,040,536 ('536) and U.S. Patent 5,987,352 ('352). In the '536 patent, an intravascular pressure posture detector includes at least two pressure sensors implanted in different places in the cardiovascular system, such that differences in pressure with changes in posture are differentially measurable. However, the physiological measurements are used locally within the device, or in conjunction with any implantable device, to effect a therapeutic treatment. In the '352 patent, an event monitor can include additional sensors for monitoring and recording physiological signals during arrhythmia and syncopal events. The recorded signals can be used for diagnosis, research or therapeutic study, although no systematic approach to analyzing these signals, particularly with respect to peer and general population groups, is presented.

[0009] Thus, there is a need for a system and method for providing continuous retrieval, transferal, and automated analysis of retrieved medical device information, such as telemetered signals, retrieved in general from a broad class of implantable and external medical devices. Preferably, the automated analysis would include recognising a trend indicating disease onset, progression, regression, and status quo and determining whether medical intervention is necessary.

[0010] There is a further need for a system and method that would allow consideration of sets of collected measures, both actual and derived, from multiple device interrogations. These collected measures sets could then be compared and analyzed against short and long term periods of observation.

[0011] There is a further need for a system and method that would enable the measures sets for an individual patient to be self-referenced and cross-referenced to similar or dissimilar patients and to the general patient population. Preferably, the historical collected measures sets of an individual patient could be compared and analyzed against those of other patients in general or of a disease specific peer group in particular.

### **Summary of the Invention**

[0012] The present invention provides a system, method, computer program and computer readable

storage medium for automated collection and analysis of patient information retrieved from an implantable medical device for remote patient care, in accordance with the claims which follow.

[0013] The patient device information relates to individual measures recorded by and retrieved from implantable medical devices, such as IPGs and monitors. The patient device information is received on a regular, e.g., daily, basis as sets of collected measures which are stored along with other patient records in a database. The information can be analyzed in an automated fashion and feedback provided to the patient at any time and in any location.

[0014] An embodiment of the present invention is a system, method, and computer-readable storage medium holding code for automated collection and analysis of patient information retrieved from a medical device adapted to be implanted in a patient for remote patient care. A set of collected measures is periodically received from the medical device adapted to be implanted over a communications link which is interfaced to a network server. The collected measures set includes individual measures which each relate to patient information recorded by the medical device adapted to be implanted for an individual patient. The collected measures set is stored into a patient care record for the individual patient within a database server organized to store one or more patient care records. Each patient care record includes a plurality of the collected measures sets. One or more of the collected measures sets in the patient care record for the individual patient is analyzed relative to one or more other collected measures sets stored in the database server to determine a patient status indicator. The patient status indicators are then triaged and prioritized for an appropriate level of alert and interaction.

[0015] A further embodiment of the present invention is a system and method for automated remote patient care using patient information retrieved from a medical device adapted to be implanted in a patient. One or more patient care records are organized in a database with each patient care record including a plurality of the collected measures sets. Each collected measures set include individual measures which each relate to patient information recorded by a medical device adapted to be implanted for an individual patient. A set of the collected measures periodically sent from the implantable medical device over a communications link is received. The collected measures set is stored into the patient care record in the database for the individual patient. One or more of the collected measures sets in the patient care record for the individual patient are analyzed relative to one or more other collected measures sets stored in the patient care record of the individual patient. Feedback based on the analysis of the one or more collected measures sets is sent to the individual patient over a feedback communications link.

[0016] A further embodiment of the present invention

is a system and method for automated remote patient care using patient information retrieved from a medical device adapted to he implanted in a patient. A plurality of patient care records is organized in a database with each patient care record including a plurality of the collected measures sets. Each collected measures set includes individual measures which each relate to patient information recorded by a medical device adapted to be implanted for an individual patient. A set of the collected measures periodically sent from the implantable medical device over a communications link is received. The collected measures set is stored into the patient care record in the database for the individual patient. One or more of the collected measures sets in the patient care record for the individual patient is analyzed relative to one or more other collected measures sets stored in other patient care records in the database. Feedback based on the analysis of the one or more collected measures sets is sent to the individual patient over a feedback communications link.

[0017] A further embodiment of the present invention is a system and method for automated remote cardiac patient care using cardiovascular patient information retrieved from a cardiac monitoring device adapted to be implanted in a patient. A set of collected cardiovascular measures recorded by and stored in the cardiac monitoring device adapted to be implanted is retrieved on a substantially regular basis and the collected cardiovascular measures set are periodically communicated over a communications link to a centralized server. The collected cardiovascular measures set is stored into a patient care record for the individual patient in a database coupled to the centralized server. A plurality of patient care records is organized in the database with each patient care record including a plurality of the collected cardiovascular measures sets. Each collected cardiovascular measures set includes individual cardiovascular measures which each relate to patient information recorded by the cardiac monitoring device for an individual patient. One or more of the collected cardiovascular measures sets in the patient care record for the individual patient is analyzed relative to one or more other collected cardiovascular measures sets stored in the patient care records in the database. Feedback based on the analysis of the one or more collected cardiovascular measures sets is sent to the individual patient over a feedback communications link.

[0018] A further embodiment of the present invention is a system and method for automated collection and analysis of regularly retrieved patient information for remote patient care. A set of collected measures retrieved on a substantially regular basis is periodically received from a medical device having a sensor for monitoring at least one physiological measure of an individual patient. The collected measures set includes individual measures which each relate to patient information recorded by the medical device. The collected measures set is stored into a patient care record for the individual patient

within a database organized to store one or more patient care records which each include a plurality of the collected measures sets. One or more of the collected measures sets in the patient care record for the individual patient is analyzed relative to one or more other collected measures sets stored in the database to determine a patient status indicator. The present invention facilitates the gathering, storage, and analysis of critical patient information obtained on a routine basis and analyzed in an automated manner. Thus, the burden on physicians and trained personnel to evaluate the volumes of information is significantly minimized while the benefits to patients are greatly enhanced.

[0019] Still other embodiments of the present invention will become readily apparent to those skilled in the art from the following detailed description, wherein is described embodiments of the invention by way of illustration and example only. As will he realized, the invention is capable of other and different embodiments and its several details are capable of modifications in various obvious respects, all without departing from the scope of the present invention.

#### **Brief Description of the Drawings**

#### [0020]

25

FIGURE 1 is a block diagram showing a system for automated collection and analysis of patient information retrieved from an implantable medical device for remote patient care in accordance with the present invention;

FIGURE 2 is a block diagram showing the hardware components of the server system of the system of FIGURE 1;

FIGURE 3 is a block diagram showing the software modules of the server system of the system of FIG-URE 1;

FIGURE 4 is a block diagram showing the analysis module of the server system of FIGURE 3;

FIGURE 5 is a database schema showing, by way of example, the organization of a cardiac patient care record stored in the database of the system of FIGURE 1;

FIGURE 6 is a record view showing, by way of example, a set of partial cardiac patient care records stored in the database of the system of FIGURE 1; FIGURE 7 is a flow diagram showing a method for automated collection and analysis of patient information retrieved from an implantable medical device for remote patient care in accordance with the present invention;

FIGURE 8 is a flow diagram showing a routine for analyzing collected measures sets for use in the method of FIGURE 7:

FIGURE 9 is a flow diagram showing a routine for comparing sibling collected measures sets for use in the routine of FIGURE 8;

FIGURES 10A and 10B are flow diagrams showing a routine for comparing peer collected measures sets for use in the routine of FIGURE 8; and FIGURE 11 is a flow diagram showing a routine for providing feedback for use in the method of FIGURE 7;

FIGURE 12 is a block diagram showing a system for automated collection and analysis of regularly retrieved patient information for remote patient care in accordance with a further embodiment of the present invention;

FIGURE 13 is a block diagram showing the analysis module of the server system of FIGURE 12;

FIGURE 14 is a database schema showing, by way of example, the organization of a quality of life and symptom measures set record for care of patients stored as part of a patient care record in the database of the system of FIGURE 12;

FIGURE 15 is a record view showing, by way of example, a set of partial cardiac patient care records stored in the database of the system of FIGURE 12; FIGURE 16 is a Venn diagram showing, by way of example, peer group overlap between the partial patient care records of FIGURE 15;

FIGURES 17A-17B are flow diagrams showing a method for automated collection and analysis of regularly retrieved patient information for remote patient care in accordance with a further embodiment of the present invention; and

FIGURE 18 is a flow diagram showing a routine for analyzing collected measures sets for use in the method of FIGURES 17A-17B.

### **Detailed Description**

[0021] FIGURE 1 is a block diagram showing a system 10 for automated collection and analysis of patient information retrieved from an implantable medical device for remote patient care in accordance with the present invention. A patient 11 is a recipient of an implantable medical device 12, such as, by way of example, an IPG or a heart failure or event monitor, with a set of leads extending into his or her heart. The implantable medical device 12 includes circuitry for recording into a short-term, volatile memory telemetered signals, which are stored as a set of collected measures for later retrieval.

[0022] For an exemplary cardiac implantable medical device, the telemetered signals non-exclusively present patient information relating to: atrial electrical activity, ventricular electrical activity, time of day, activity level, cardiac output, oxygen level, cardiovascular pressure measures, the number and types of interventions made, and the relative success of any interventions made on a per heartbeat or binned average basis, plus the status of the batteries and programmed settings. Examples of pacemakers suitable for use in the present invention include the Discovery line of pacemakers, manufactured

by Guidant Corporation, Indianapolis, IN. Examples of ICDs suitable for use in the present invention include the Ventak line of ICDs, also manufactured by Guidant Corporation, Indianapolis, IN.

5 [0023] In the described embodiment, the patient 11 has a cardiac implantable medical device. However, a wide range of related implantable medical devices are used in other areas of medicine and a growing number of these devices are also capable of measuring and re10 cording patient information for later retrieval. These implantable medical devices include monitoring and therapeutic devices for use in metabolism, endocrinology, hematology, neurology, muscularology, gastro-intestinalogy, genital-urology, ocular, auditory, and similar medical subspecialties. One skilled in the art would readily recognize the applicability of the present invention to these related implantable medical devices.

[0024] On a regular basis, the telemetered signals stored in the implantable medical device 12 are retrieved. By way of example, a programmer 14 can be used to retrieve the telemetered signals. However, any form of programmer, interrogator, recorder, monitor, or telemetered signals transceiver suitable for communicating with an implantable medical device 12 could be used, as is known in the art. In addition, a personal computer or digital data processor could be interfaced to the implantable medical device 12, either directly or via a telemetered signals transceiver configured to communicate with the implantable medical device 12.

[0025] Using the programmer 14, a magnetized reed switch (not shown) within the implantable medical device 12 closes in response to the placement of a wand 13 over the location of the implantable medical device 12. The programmer 14 communicates with the implantable medical device 12 via RF signals exchanged through the wand 14. Programming or interrogating instructions are sent to the implantable medical device 12 and the stored telemetered signals are downloaded into the programmer 14. Once downloaded, the telemetered signals are sent via an internetwork 15, such as the Internet, to a server system 16 which periodically receives and stores the telemetered signals in a database 17, as further described below with reference to FIGURE 2.

[0026] An example of a programmer 14 suitable for use in the present invention is the Model 2901 Programmer Recorder Monitor, manufactured by Guidant Corporation, Indianapolis, IN, which includes the capability to store retrieved telemetered signals on a proprietary removable floppy diskette. The telemetered signals could later he electronically transferred using a personal computer or similar processing device to the internetwork 15, as is known in the art.

[0027] Other alternate telemetered signals transfer means could also he employed. For instance, the stored telemetered signals could be retrieved from the implantable medical device 12 and electronically transferred to the internetwork 15 using the combination of a remote external programmer and analyzer and a remote tele-

40

45

20

30

35

40

phonic communicator, such as described in U.S. Patent No. 5,113,869. Similarly, the stored telemetered signals could be retrieved and remotely downloaded to the server system 16 using a world-wide patient location and data telemetry system, such as described in U.S. Patent No. 5,752,976.

[0028] The received telemetered signals are analyzed by the server system 16, which generates a patient status indicator. The feedback is then provided back to the patient 11 through a variety of means. By way of example, the feedback can he sent as an electronic mail message generated automatically by the server system 16 for transmission over the internetwork 15. The electronic mail message is received by personal computer 18 (PC) situated for local access by the patient 11. Alternatively, the feedback can be sent through a telephone interface device 19 as an automated voice mail message to a telephone 21 or as an automated facsimile message to a facsimile machine 22, both also situated for local access by the patient 11. In addition to a personal computer 18, telephone 21, and facsimile machine 22, feedback could be sent to other related devices, including a network computer, wireless computer, personal data assistant, television, or digital data processor. Preferably, the feedback is provided in a tiered fashion, as further described below with reference to FIGURE 3.

[0029] FIGURE 2 is a block diagram showing the hardware components of the server system 16 of the system 10 of FIGURE 1. The server system 16 consists of three individual servers: network server 31, database server 34, and application server 35. These servers are interconnected via an intranetwork 33. In the described embodiment, the functionality of the server system 16 is distributed among these three servers for efficiency and processing speed, although the functionality could also be performed by a single server or cluster of servers. The network server 31 is the primary interface of the server system 16 onto the internetwork 15. The network server 31 periodically receives the collected telemetered signals sent by remote implantable medical devices over the internetwork 15. The network server 31 is interfaced to the internetwork 15 through a router 32. To ensure reliable data exchange, the network server 31 implements a TCP/IP protocol stack, although other forms of network protocol stacks are suitable.

[0030] The database server 34 organizes the patient care records in the database 17 and provides storage of and access to information held in those records. A high volume of data in the form of collected measures sets from individual patients is received. The database server 34 frees the network server 31 from having to categorize and store the individual collected measures sets in the appropriate patient care record.

[0031] The application server 35 operates management applications and performs data analysis of the patient care records, as further described below with reference to FIGURE 3. The application server 35 commu-

nicates feedback to the individual patients either through electronic mail sent back over the internetwork 15 via the network server 31 or as automated voice mail or facsimile messages through the telephone interface device 19.

[0032] The server system 16 also includes a plurality of individual workstations 36 (WS) interconnected to the intranetwork 33, some of which can include peripheral devices, such as a printer 37. The workstations 36 are for use by the data management and programming staff, nursing staff, office staff, and other consultants and authorized personnel.

[0033] The database 17 consists of a high-capacity storage medium configured to store individual patient care records and related health care information. Preferably, the database 17 is configured as a set of high-speed, high capacity hard drives, such as organized into a Redundant Array of Inexpensive Disks (RAID) volume. However, any form of volatile storage, non-volatile storage, removable storage, fixed storage, random access storage, sequential access storage, permanent storage, erasable storage, and the like would be equally suitable. The organization of the database 17 is further described below with reference to FIGURE 3.

[0034] The individual servers and workstations are general purpose, programmed digital computing devices consisting of a central processing unit (CPU), random access memory (RAM), non-volatile secondary storage, such as a hard drive or CD ROM drive, network interfaces, and peripheral devices, including user interfacing means, such as a keyboard and display. Program code, including software programs, and data are loaded into the RAM for execution and processing by the CPU and results are generated for display, output, transmittal, or storage. In the described embodiment, the individual servers are Intel Pentium-based server systems, such as available from Dell Computers, Austin, Texas, or Compaq Computers, Houston, Texas. Each system is preferably equipped with 128MB RAM, 100GB hard drive capacity, data backup facilities, and related hardware for interconnection to the intranetwork 33 and internetwork 15. In addition, the workstations 36 are also Intel Pentium-based personal computer or workstation systems, also available from Dell Computers, Austin, Texas, or Compag Computers, Houston, Texas. Each workstation is preferably equipped with 64MB RAM, 10GB hard drive capacity, and related hardware for interconnection to the intranetwork 33. Other types of server and workstation systems, including personal computers, minicomputers, mainframe computers, supercomputers, parallel computers, workstations, digital data processors and the like would be equally suitable, as is known in the art.

[0035] The telemetered signals are communicated over an internetwork 15, such as the Internet. However, any type of electronic communications link could he used, including an intranetwork link, serial link, data telephone link, satellite link, radio-frequency link, infrared

link, fiber optic link, coaxial cable link, television link, and the like, as is known in the art. Also, the network server 31 is interfaced to the internetwork 15 using a T-1 network router 32, such as manufactured by Cisco Systems, Inc., San Jose, California. However, any type of interfacing device suitable for interconnecting a server to a network could be used, including a data modem, cable modem, network interface, serial connection, data port, hub, frame relay, digital PBX, and the like, as is known in the art.

[0036] FIGURE 3 is a block diagram showing the software modules of the server system 16 of the system 10 of FIGURE 1. Each module is a computer program written as source code in a conventional programming language, such as the C or Java programming languages, and is presented for execution by the CPU as object or byte code, as is known in the arts. The various implementations of the source code and object and byte codes can be held on a computer-readable storage medium or embodied on a transmission medium in a carrier wave. There are three basic software modules, which functionally define the primary operations performed by the server system 16: database module 51, analysis module 53, and feedback module 55. In the described embodiment, these modules are executed in a distributed computing environment, although a single server or a cluster of servers could also perform the functionality of the modules. The module functions are further described below in more detail beginning with reference to FIGURE 7.

[0037] For each patient being provided remote patient care, the server system 16 periodically receives a collected measures set 50 which is forwarded to the database module 51 for processing. The database module 51 organizes the individual patent care records stored in the database 52 and provides the facilities for efficiently storing and accessing the collected measures sets 50 and patient data maintained in those records. An exemplary database schema for use in storing collected measures sets 50 in a patient care record is described below, by way of example, with reference to FIG-URE 5. The database server 34 (shown in FIGURE 2) performs the functionality of the database module 51. Any type of database organization could he utilized, including a flat file system, hierarchical database, relational database, or distributed database, such as provided by database vendors, such as Oracle Corporation, Redwood Shores, California.

[0038] The analysis module 53 analyzes the collected measures sets 50 stored in the patient care records in the database 52. The analysis module 53 makes an automated determination of patient wellness in the form of a patient status indicator 54. Collected measures sets 50 are periodically received from implantable medical devices and maintained by the database module 51 in the database 52. Through the use of this collected information, the analysis module 53 can continuously follow the medical well being of a patient and can recog-

nize any trends in the collected information that might warrant medical intervention. The analysis module 53 compares individual measures and derived measures obtained from both the care records for the individual patient and the care records for a disease specific group of patients or the patient population in general. The analytic operations performed by the analysis module 53 are further described below with reference to FIGURE 4. The application server 35 (shown in FIGURE 2) performs the functionality of the analysis module 53.

[0039] The feedback module 55 provides automated feedback to the individual patient based, in part, on the patient status indicator 54. As described above, the feedback could be by electronic mail or by automated voice mail or facsimile. Preferably, the feedback is provided in a tiered manner. In the described embodiment, four levels of automated feedback are provided. At a first level, an interpretation of the patient status indicator 54 is provided. At a second level, a notification of potential medical concern based on the patient status indicator 54 is provided. This feedback level could also be coupled with human contact by specially trained technicians or medical personnel. At a third level, the notification of potential medical concern is forwarded to medical practitioners located in the patient's geographic area. Finally, at a fourth level, a set of reprogramming instructions based on the patient status indicator 54 could be transmitted directly to the implantable medical device to modify the programming instructions contained therein. As is customary in the medical arts, the basic tiered feedback scheme would he modified in the event of bona fide medical emergency. The application server 35 (shown in FIGURE 2) performs the functionality of the feedback module 55.

[0040] FIGURE 4 is a block diagram showing the analysis module 53 of the server system 16 of FIGURE 3. The analysis module 53 contains two functional submodules: comparison module 62 and derivation module 63. The purpose of the comparison module 62 is to compare two or more individual measures, either collected or derived. The purpose of the derivation module 63 is to determine a derived measure based on one or more collected measures which is then used by the comparison module 62. For instance, a new and improved indicator of impending heart failure could be derived based on the exemplary cardiac collected measures set described with reference to FIGURE 5. The analysis module 53 can operate either in a hatch mode of operation wherein patient status indicators are generated for a set of individual patients or in a dynamic mode wherein a patient status indicator is generated on the fly for an individual patient.

[0041] The comparison module 62 receives as inputs from the database 17 two input sets functionally defined as peer collected measures sets 60 and sibling collected measures sets 61, although in practice, the collected measures sets are stored on a per sampling basis. Peer collected measures sets 60 contain individual collected

35

measures sets that all relate to the same type of patient information, for instance, atrial electrical activity, but which have been periodically collected over time. Sibling collected measures sets 61 contain individual collected measures sets that relate to different types of patient information, but which may have been collected at the same time or different times. In practice, the collected measures sets are not separately stored as "peer" and "sibling" measures. Rather, each individual patient care record stores multiple sets of sibling collected measures. The distinction between peer collected measures sets 60 and sibling collected measures sets 61 is further described below with reference to FIGURE

[0042] The derivation module 63 determines derived measures sets 64 on an as-needed basis in response to requests from the comparison module 62. The derived measures 64 are determined by performing linear and non-linear mathematical operations on selected peer measures 60 and sibling measures 61, as is known in the art.

[0043] FIGURE 5 is a database schema showing, by way of example, the organization of a cardiac patient care record stored 70 in the database 17 of the system 10 of FIGURE 1. Only the information pertaining to collected measures sets are shown. Each patient care record would also contain normal identifying and treatment profile information, as well as medical history and other pertinent data (not shown). Each patient care record stores a multitude of collected measures sets for an individual patient. Each individual set represents a recorded snapshot of telemetered signals data which was recorded, for instance, per heartbeat or binned average basis by the implantable medical device 12. For example, for a cardiac patient, the following information would be recorded as a collected measures set: atrial electrical activity 71, ventricular electrical activity 72, time of day 73, activity level 74, cardiac output 75, oxygen level 76, cardiovascular pressure measures 77, pulmonary measures 78, interventions made by the implantable medical device 78, and the relative success of any interventions made 80. In addition, the implantable medical device 12 would also communicate device specific information, including battery status 81 and program settings 82. Other types of collected measures are possible. In addition, a well-documented set of derived measures can be determined based on the collected measures, as is known in the art.

[0044] FIGURE 6 is a record view showing, by way of example, a set of partial cardiac patient care records stored in the database 17 of the system 10 of FIGURE 1. Three patient care records are shown for Patient 1, Patient 2, and Patient 3. For each patient, three sets of measures are shown, X, Y, and Z. The measures are organized into sets with Set 0 representing sibling measures made at a reference time t=0. Similarly, Set n-2, Set n-1 and Set n each represent sibling measures made at later reference times t=n-2, t=n-1 and t=n, re-

spectively.

[0045] For a given patient, for instance, Patient 1, all measures representing the same type of patient information, such as measure X, are peer measures. These are measures, which are monitored over time in a disease-matched peer group. All measures representing different types of patient information, such as measures X, Y, and Z, are sibling measures. These are measures which are also measured over time, but which might have medically significant meaning when compared to each other within a single set. Each of the measures, X, Y, and Z, could be either collected or derived measures. [0046] The analysis module 53 (shown in FIGURE 4) performs two basic forms of comparison. First, individual measures for a given patient can he compared to other individual measures for that same patient. These comparisons might be peer-to-peer measures projected over time, for instance,  $X_n$ ,  $X_{n-1}$ ,  $X_{n-2}$ ,... $X_0$ , or sibling-tosibling measures for a single snapshot, for instance,  $X_m$  $Y_n$ , and  $Z_n$ , or projected over time, for instance,  $X_n$ ,  $Y_n$ ,  $Z_n, X_{n-1}, Y_{n-1}, Z_{n-1}, X_{n-2}, Y_{n-2}, Z_{n-2}, \dots X_0, Y_0, Z_0$ . Second, individual measures for a given patient can be compared to other individual measures for a group of other patients sharing the same disease-specific characteristics or to the patient population in general. Again, these comparisons might be peer-to-peer measures projected over time, for instance,  $X_n$ ,  $X_n$ ,  $X_{n-1}$ ,  $X_{n-1}$ ,  $X_{n-1}$ ,  $X_{n-1}$ ,  $X_{n-2}, X_{n-2}, X_{n-2}, X_{n-2}, X_0, X_0, X_0$  or comparing the individual patient's measures to an average from the group. Similarly, these comparisons might be sibling-to-sibling measures for single snapshots, for instance,  $X_n$ ,  $X_n$ ,  $X_n$ ,  $Y_n$ ,  $Y_n$ ,  $Y_n$ , and  $Z_n$ ,  $Z_n$ ,  $Z_n$ , or projected over time, for instance,  $X_n$ ,  $X_n$ ,  $X_n$ ,  $Y_n$ ,  $Y_n$ ,  $Y_n$ ,  $Z_n$  $Y_{n-1}, Z_{n-1}, Z_{n-1}, Z_{n-1}, X_{n-2}, X$  $X_{n-1}, Y_{n-1}, Y_{n-1},$  $Y_{n-2}, \ Y_{n-2}, \ Y_{n-2}, \ Z_{n-2}, \ Z_{n-2}, \ Z_{n-2}, \ \dots X_0, X_0, X_0, \ Y_0, \ Y_0, \ Y_0, \ X_0, \ Z_0, \ Z_0, \ Other forms of comparisons are$ 

[0047] FIGURE 7 is a flow diagram showing a method 90 for automated collection and analysis of patient information retrieved from an implantable medical device 12 for remote patient care in accordance with the present invention. The method 90 is implemented as a conventional computer program for execution by the server system 16 (shown in FIGURE 1). As a preparatory step, the patient care records are organized in the database 17 with a unique patient care record assigned to each individual patient (block 91). Next, the collected measures sets for an individual patient are retrieved from the implantable medical device 12 (block 92) using a programmer, interrogator, telemetered signals transceiver, and the like. The retrieved collected measures sets are sent, on a substantially regular basis, over the internetwork 15 or similar communications link (block 93) and periodically received by the server system 16 (block 94). The collected measures sets are stored into the patient care record in the database 17 for that individual patient (block 95). One or more of the collected measures sets for that patient are analyzed (block 96),

20

25

30

35

45

as further described below with reference to FIGURE 8. Finally, feedback based on the analysis is sent to that patient over the internetwork 15 as an email message, via telephone line as an automated voice mail or facsimile message, or by similar feedback communications link (block 97), as further described below with reference to FIGURE 11.

[0048] FIGURE 8 is a flow diagram showing the routine for analyzing collected measures sets 96 for use in the method of FIGURE 7. The purpose of this routine is to make a determination of general patient wellness based on comparisons and heuristic trends analyses of the measures, both collected and derived, in the patient care records in the database 17. A first collected measures set is selected from a patient care record in the database 17 (block 100). If the measures comparison is to be made to other measures originating from the patient care record for the same individual patient (block 101), a second collected measures set is selected from that patient care record (block 102). Otherwise, a group measures comparison is being made (block 101) and a second collected measures set is selected from another patient care record in the database 17 (block 103). Note the second collected measures set could also contain averaged measures for a group of disease specific patients or for the patient population in general.

[0049] Next, if a sibling measures comparison is to be made (block 104), a routine for comparing sibling collected measures sets is performed (block 105), as further described below with reference to FIGURE 9. Similarly, if a peer measures comparison is to be made (block 106), a routine for comparing sibling collected measures sets is performed (block 107), as further described below with reference to FIGURES 10A and 10B. [0050] Finally, a patient status indicator is generated (block 108). By way of example, cardiac output could ordinarily be approximately 5.0 liters per minute with a standard deviation of ± 1.0. An actionable medical phenomenon could occur when the cardiac output of a patient is ± 3.0-4.0 standard deviations out of the norm. A comparison of the cardiac output measures 75 (shown in FIGURE 5) for an individual patient against previous cardiac output measures 75 would establish the presence of any type of downward health trend as to the particular patient. A comparison of the cardiac output measures 75 of the particular patient to the cardiac output measures 75 of a group of patients would establish whether the patient is trending out of the norm. From this type of analysis, the analysis module 53 generates a patient status indicator 54 and other metrics of patient 50 wellness, as is known in the art.

[0051] FIGURE 9 is a flow diagram showing the routine for comparing sibling collected measures sets 105 for use in the routine of FIGURE 8. Sibling measures originate from the patient care records for an individual patient. The purpose of this routine is either to compare sibling derived measures to sibling derived measures (blocks 111-113) or sibling collected measures to sibling

collected measures (blocks 115-117). Thus, if derived measures are being compared (block 110), measures are selected from each collected measures set (block 111). First and second derived measures are derived from the selected measures (block 112) using the derivation module 63 (shown in FIGURE 4). The first and second derived measures are then compared (block 113) using the comparison module 62 (also shown in FIGURE 4). The steps of selecting, determining, and comparing (blocks 111-113) are repeated until no further comparisons are required (block 114), whereupon the routine returns.

[0052] If collected measures are being compared (block 110), measures are selected from each collected measures set (block 115). The first and second collected measures are then compared (block 116) using the comparison module 62 (also shown in FIGURE 4). The steps of selecting and comparing (blocks 115-116) are repeated until no further comparisons are required (block 117), whereupon the routine returns.

[0053] FIGURES 10A and 10B are a flow diagram showing the routine for comparing peer collected measures sets 107 for use in the routine of FIGURE 8. Peer measures originate from patient care records for different patients, including groups of disease specific patients or the patient population in general. The purpose of this routine is to compare peer derived measures to peer derived measures (blocks 122-125), peer derived measures to peer collected measures (blocks 126-129), peer collected measures to peer derived measures (block 131-134), or peer collected measures to peer collected measures (blocks 135-137). Thus, if the first measure being compared is a derived measure (block 120) and the second measure being compared is also a derived measure (block 121), measures are selected from each collected measures set (block 122). First and second derived measures are derived from the selected measures (block 123) using the derivation module 63 (shown in FIGURE 4). The first and second derived measures are then compared (block 124) using the comparison module 62 (also shown in FIGURE 4). The steps of selecting, determining, and comparing (blocks 122-124) are repeated until no further comparisons are required (block 115), whereupon the routine returns.

[0054] If the first measure being compared is a derived measure (block 120) but the second measure being compared is a collected measure (block 121), a first measure is selected from the first collected measures set (block 126). A first derived measure is derived from the first selected measure (block 127) using the derivation module 63 (shown in FIGURE 4). The first derived and second collected measures are then compared (block 128) using the comparison module 62 (also shown in FIGURE 4). The steps of selecting, determining, and comparing (blocks 126-128) are repeated until no further comparisons are required (block 129), whereupon the routine returns.

[0055] If the first measure being compared is a col-

15

20

25

40

50

lected measure (block 120) but the second measure being compared is a derived measure (block 130), a second measure is selected from the second collected measures set (block 131). A second derived measure is derived from the second selected measure (block 132) using the derivation module 63 (shown in FIGURE 4). The first collected and second derived measures are then compared (block 133) using the comparison module 62 (also shown in FIGURE 4). The steps of selecting, determining, and comparing (blocks 131-133) are repeated until no further comparisons are required (block 134), whereupon the routine returns.

[0056] If the first measure being compared is a collected measure (block 120) and the second measure being compared is also a collected measure (block 130), measures are selected from each collected measures set (block 135). The first and second collected measures are then compared (block 136) using the comparison module 62 (also shown in FIGURE 4). The steps of selecting and comparing (blocks 135-136) are repeated until no further comparisons are required (block 137), whereupon the routine returns.

[0057] FIGURE 11 is a flow diagram showing the routine for providing feedback 97 for use in the method of FIGURE 7. The purpose of this routine is to provide tiered feedback based on the patient status indicator. Four levels of feedback are provided with increasing levels of patient involvement and medical care intervention. At a first level (block 150), an interpretation of the patient status indicator 54, preferably phrased in lay terminology, and related health care information is sent to the individual patient (block 151) using the feedback module 55 (shown in FIGURE 3). At a second level (block 152), a notification of potential medical concern, based on the analysis and heuristic trends analysis, is sent to the individual patient (block 153) using the feedback module 55. At a third level (block 154), the notification of potential medical concern is forwarded to the physician responsible for the individual patient or similar health care professionals (block 155) using the feedback module 55. Finally, at a fourth level (block 156), reprogramming instructions are sent to the implantable medical device 12 (block 157) using the feedback module 55.

[0058] Therefore, through the use of the collected measures sets, the present invention makes possible immediate access to expert medical care at any time and in any place. For example, after establishing and registering for each patient an appropriate baseline set of measures, the database server could contain a virtually up-to-date patient history, which is available to medical providers for the remote diagnosis and prevention of serious illness regardless of the relative location of the patient or time of day.

[0059] Moreover, the gathering and storage of multiple sets of critical patient information obtained on a routine basis makes possible treatment methodologies based on an algorithmic analysis of the collected data sets. Each successive introduction of a new collected

measures set into the database server would help to continually improve the accuracy and effectiveness of the algorithms used. In addition, the present invention potentially enables the detection, prevention, and cure of previously unknown forms of disorders based on a trends analysis and by a cross-referencing approach to create continuously improving peer-group reference databases.

[0060] Finally, the present invention makes possible the provision of tiered patient feedback based on the automated analysis of the collected measures sets. This type of feedback system is suitable for use in, for example, a subscription based health care service. At a basic level, informational feedback can be provided by way of a simple interpretation of the collected data. The feedback could be built up to provide a gradated response to the patient, for example, to notify the patient that he or she is trending into a potential trouble zone. Human interaction could be introduced, both by remotely situated and local medical practitioners. Finally, the feedback could include direct interventive measures, such as remotely reprogramming a patient's IPG.

[0061] FIGURE 12 is a block diagram showing a system for automated collection and analysis of regularly retrieved patient information for remote patient care 200 in accordance with a further embodiment of the present invention. The system 200 provides remote patient care in a manner similar to the system 10 of FIGURE 1, but with additional functionality for diagnosing and monitoring multiple sites within a patient's body using a variety of patient sensors for diagnosing one or more disorder. The patient 201 can be the recipient of an implantable medical device 202, as described above, or have an external medical device 203 attached, such as a Holter monitor-like device for monitoring electrocardiograms. In addition, one or more sites in or around the patient's body can be monitored using multiple sensors 204a, 204b, such as described in U.S. Patents 4,987,897; 5,040,536; 5,113,859; and 5,987,352. Other types of devices with physiological measure sensors, both heterogeneous and homogenous, could be used, either within the same device or working in conjunction with each other, as is known in the art.

[0062] As part of the system 200, the database 17 stores patient care records 205 for each individual patient to whom remote patient care is being provided. Each patient care record 205 contains normal patient identification and treatment profile information, as well as medical history, medications taken, height and weight, and other pertinent data (not shown). The patient care records 205 consist primarily of monitoring sets 206 storing device and derived measures (D&DM) sets 207 and quality of life and symptom measures (QOLM) sets 208 recorded and determined thereafter on a regular, continuous basis. The organization of the device and derived measures sets 205 for an exemplary cardiac patient care record is described above with reference to FIGURE 5. The organization of the quality of

life and symptom measures sets 208 is further described below with reference to FIGURE 14.

, entitled "System And Method For Determining A Reference Baseline Of Individual Patient Status For Use In An Automated Collection And Analysis Patient Care System," filed December 31, 1999. Other forms of database organization are feasible.

[0064] Finally, simultaneous notifications can also be delivered to the patient's physician, hospital, or emergency medical services provider 212 using feedback means similar to that used to notify the patient. As described above, the feedback could be by electronic mail or by automated voice mail or facsimile. The feedback can also include normalized voice feedback, such as described in the related, commonly-owned U.S. Patent application, Serial No. \_\_\_\_\_\_\_, entitled "System And Method For Providing Normalized Voice Feedback From An Individual Patient In An Automated Collection And Analysis Patient Care System," filed December 31, 1999.

[0065] FIGURE 13 is a block diagram showing the analysis module 53 of the server system 16 of FIGURE 12. The peer collected measures sets 60 and sibling collected measures sets 61 can be organized into site specific groupings based on the sensor from which they originate, that is, implantable medical device 202, external medical device 203, or multiple sensors 204a, 204h. The functionality of the analysis module 53 is augmented to iterate through a plurality of site specific measures sets 215 and one or more disorders.

[0066] As an adjunct to remote patient care through the monitoring of measured physiological data via implantable medical device 202, external medical device 203 and multiple sensors 204a, 204b, quality of life and symptom measures sets 208 can also be stored in the database 17 as part of the monitoring sets 206. A quality of life measure is a semi-quantitative self-assessment of an individual patient's physical and emotional wellbeing and a record of symptoms, such as provided by the Duke Activities Status Indicator. These scoring systems can be provided for use by the patient 11 on the personal computer 18 (shown in FIGURE 1) to record his or her quality of life scores for both initial and periodic download to the server system 16. FIGURE 14 is a database schema showing, by way of example, the organization of a quality of life and symptom measures set record 220 for care of patients stored as part of a patient care record 205 in the database 17 of the system 200 of FIGURE 12. The following exemplary information is recorded for a patient: overall health wellness 221, psychological state 222, chest discomfort 223, location of

chest discomfort 224, palpitations 225, shortness of breath 226, exercise tolerance 227, cough 228, sputum production 229, sputum color 230, energy level 231, syncope 232, near syncope 233, nausea 234, diaphoresis 235, time of day 91, and other quality of life and symptom measures as would be known to one skilled in the art.

[0067] Other types of quality of life and symptom measures are possible, such as those indicated by responses to the Minnesota Living with Heart Failure Questionnaire described in E. Braunwald, ed., "Heart Disease-A Textbook of Cardiovascular Medicine," pp. 452-454, W.B. Saunders Co. (1997). Similarly, functional classifications based on the relationship between symptoms and the amount of effort required to provoke them can serve as quality of life and symptom measures, such as the New York Heart Association (NYHA) classifications I, II, III and IV, also described in *Ibid*.

[0068] The patient may also add non-device quantitative measures, such as the six-minute walk distance, as complementary data to the device and derived measures sets 207 and the symptoms during the six-minute walk to quality of life and symptom measures sets 208. [0069] FIGURE 15 is a record view showing, by way of example, a set of partial cardiac patient care records stored in the database 17 of the system 200 of FIGURE 12. Three patient care records are again shown for Patient 1, Patient 2, and Patient 3 with each of these records containing site specific measures sets 215, grouped as follows. First, the patient care record for Patient 1 includes three site specific measures sets A, B and C, corresponding to three sites on Patient 1's body. Similarly, the patient care record for Patient 2 includes two site specific measures sets A and B, corresponding to two sites, both of which are in the same relative positions on Patient 2s body as the sites for Patient 1. Finally, the patient care record for Patient 3 includes two site specific measures sets A and D, also corresponding to two medical device sensors, only one of which, Site A, is in the same relative position as Site A for Patient 1 and Patient 2.

[0070] The analysis module 53 (shown in FIGURE 13) performs two further forms of comparison in addition to comparing the individual measures for a given patient to other individual measures for that same patient or to other individual measures for a group of other patients sharing the same disease-specific characteristics or to the patient population in general. First, the individual measures corresponding to each body site for an individual patient can be compared to other individual measures for that same patient, a peer group or a general patient population. Again, these comparisons might be peer-to-peer measures projected over time, for instance, comparing measures for each site, A, B and C,  $X_{n_A}, X_{i_A}, X_{i_A}$ Patient  $X_{n-1,A}, X_{n-1,A}, X_{n-1,A}$  $X_{n_b}, X_{\bullet_a}, X_{\bullet_a}, X_{\bullet_a};$ 

25

 $X_{0C}$ ,  $X_{c_i}$ ,  $X_{c_i}$ ; comparing comparable measures for Site A for the three patients,  $X_{nA}$ ,  $X_{c_i}$ ,  $X_{c_i}$ ,  $X_{n-1A}$ ,  $X_{c-i}$ , or comparing the individual patient's measures to an average from the group. Similarly, these comparisons might be sibling-to-sibling measures for single snapshots, for instance, comparing comparable measures for Site A for the three patients,  $X_{nA}$ ,  $X_{c-i}$ ,  $Y_{nA}$ ,  $Y_{c-i}$ ,  $Y_{c-i}$ , and  $Z_{nA}$ ,  $Z_{c-i}$ , or comparing those same comparable measures for Site A projected over time, for instance,  $X_{nA}$ ,  $X_{c-i}$ ,  $X_{c-i}$ ,  $X_{c-i}$ ,  $Y_{nA}$ ,  $Y_{c-i}$ ,  $Y_{c-i}$ ,  $Z_{c-i}$ ,  $Z_$ 

[0071] Second, the individual measures can be compared on a disorder specific basis. The individual measures stored in each cardiac patient record can be logically grouped into measures relating to specific disorders and diseases, for instance, congestive heart failure, myocardial infarction, respiratory distress, and atrial fibrillation. The foregoing comparison operations performed by the analysis module 53 are further described below with reference to FIGURES 17A-17B.

[0072] FIGURE 16 is a Venn diagram showing, by way of example, peer group overlap between the partial patient care records 205 of FIGURE 15. Each patient care record 205 includes characteristics data 250, 251, 252, including personal traits, demographics, medical history, and related personal data, for patients 1, 2 and 3, respectively. For example, the characteristics data 250 for patient 1 might include personal traits which include gender and age, such as male and an age between 40-45; a demographic of resident of New York City; and a medical history consisting of anterior myocardial infraction, congestive heart failure and diabetes. Similarly, the characteristics data 251 for patient 2 might include identical personal traits, thereby resulting in partial overlap 253 of characteristics data 250 and 251. Similar characteristics overlap 254, 255, 256 can exist between each respective patient. The overall patient population 257 would include the universe of all characteristics data. As the monitoring population grows, the number of patients with personal traits matching those of the monitored patient will grow, increasing the value of peer group referencing. Large peer groups, well matched across all monitored measures, will result in a well known natural history of disease and will allow for more accurate prediction of the clinical course of the patient being monitored. If the population of patients is relatively small, only some traits 256 will he uniformly present in any particular peer group. Eventually, peer groups, for instance, composed of 100 or more patients each, would evolve under conditions in which there would be complete overlap of substantially all salient data, thereby forming a powerful core reference group for any new patient being monitored.

[0073] FIGURES 17A-17B are flow diagrams showing a method for automated collection and analysis of regularly retrieved patient information for remote patient care 260 in accordance with a further embodiment of the present invention. As with the method 90 of FIGURE 7, this method is also implemented as a conventional computer program and performs the same set of steps as described with reference to FIGURE 7 with the following additional functionality. As before, the patient care records are organized in the database 17 with a unique patient care record assigned to each individual patient (block 261). Next, the individual measures for each site are iteratively obtained in a first processing loop (blocks 262-267) and each disorder is iteratively analyzed in a second processing loop (blocks 268-270). Other forms of flow control are feasible, including recursive processing.

[0074] During each iteration of the first processing loop (blocks 262-267), the collected measures sets for an individual patient are retrieved from the medical device or sensor located at the current site (block 263) using a programmer, interrogator, telemetered signals transceiver, and the like. The retrieved collected measures sets are sent, on a substantially regular basis, over the internetwork 15 or similar communications link (block 264) and periodically received by the server system 16 (block 265). The collected measures sets are stored into the patient care record 205 in the database 17 for that individual patient (block 266).

[0075] During each iteration of the second processing loop (blocks 268-270), one or more of the collected measures sets for that patient are analyzed for the current disorder (block 269), as further described below with reference to FIGURE 18. Finally, feedback based on the analysis is sent to that patient over the internetwork 15 as an email message, via telephone line as an automated voice mail or facsimile message, or by similar feedback communications link (block 97), as further described above with reference to FIGURE 11.

[0076] FIGURE 18 is a flow diagram showing a routine for analyzing collected measures sets 270 for use in the method 260 of FIGURES 17A-17B. The purpose of this routine is to make a determination of general patient wellness based on comparisons and heuristic trends analyses of the device and derived measures and quality of life and symptom measures in the patient care records 205 in the database 17. A first collected measures set is selected from a patient care record in the database 17 (block 290). The selected measures set can either be compared to other measures originating from the patient care record for the same individual patient or to measures from a peer group of disease specific patients or for the patient population in general (block 291). If the first collected measures set is being compared within an individual patient care record (block 291), the selected measures set can either be compared to measures from the same site or from another site (block 292). If from the same site (block 292), a second

30

40

collected measures set is selected for the current site from that patient care record (block 293). Otherwise, a second collected measures set is selected for another site from that patient care record (block 294). Similarly, if the first collected measures set is being compared within a group (block 291), the selected measures set can either he compared to measures from the same comparable site or from another site (block 295). If from the same comparable site (block 295), a second collected measures set is selected for a comparable site from another patient care record (block 296). Otherwise, a second collected measures set is selected for another site from another patient care record (block 297). Note the second collected measures set could also contain averaged measures for a group of disease specific patients or for the patient population in general.

[0077] Next, if a sibling measures comparison is to be made (block 298), the routine for comparing sibling collected measures sets is performed (block 105), as further described above with reference to FIGURE 9. Similarly, if a peer measures comparison is to be made (block 299), the routine for comparing sibling collected measures sets is performed (block 107), as further described above with reference to FIGURES 10A and 10B. [0078] Finally, a patient status indicator is generated (block 300), as described above with reference to FIG-URE 8. In addition, the measures sets can be further evaluated and matched to diagnose specific medical disorders, such as congestive heart failure, myocardial infarction, respiratory distress, and atrial fibrillation, as described in related, commonly-owned U.S. Patent applications, Serial No. 09/441,623, filed November 16, 1999; Serial No. 09/441,612, filed November 16, 1999; Serial No. 09/442, 125, filed November 16, 1999; and Serial No. 09/441,613, filed November 16, 1999. In addition, multiple near-simultaneous disorders can be ordered and prioritized as part of the patient status indicator as described in the related, commonly-owned U. S. Patent application, Serial No. 09/441,405, filed November 16, 1999.

#### Claims

A system (10) for automated collection and analysis
of regularly retrieved patient information for remote
patient care, comprising:

a network server (31) receiving a set (50) of collected measures retrieved on a substantially regular basis from a medical device (12) having a sensor for monitoring at least one physiological measure (71-78) or quality of life measure (211) of an individual patient (11), the collected measures set (50) comprising individual measures which each relate to patient information recorded by the medical device;

a database (17) coupled to the network server

(31) and storing the collected measures set into a patient care record for the individual patient within the database organized to store one or more patient care records which each comprise a plurality of the collected measures sets; and an application server (35) coupled to the database (17) and analyzing one or more of the collected measures sets in the patient care record for the individual patient relative to one or more other collected measures sets stored in the database to determine a patient status indicator (54).

2. A system according to Claim 1, further comprising:

the network server (31) receiving a set of quality of life measures recorded by the individual patient:

the database (17) storing the collected quality of life measures set (211) into the patient care record (205) for the individual patient within the database: and

the application server (35) determining a change in patient status by comparing at least one recorded quality of life measure to at least one other corresponding recorded quality of life measure.

3. A system according to Claim 1, further comprising:

the network server (31) repeatedly receiving one or more collected measures sets (50) which are each recorded by a sensor which monitors at least one physiological measure of the individual patient, each such sensor monitoring a site within the individual patient unique from the site monitored by any other such sensor;

the database (17) storing each collected measures set (50) organized by specific site into the patient care record for the individual patient within the database; and

the application server (35) analyzing one or more of the site specific collected measures sets (215) in the patient care record for each site within the individual patient relative to one or more other site specific collected measures sets (215) stored in the database to determine a patient status indicator (54).

- 4. A system according to Claim 3, wherein the one or more site specific collected measures sets (215) and the one or more other site specific collected measures sets (215), both store measures collected from the same relative site, or both store measures collected from a different site.
- 5. A system according to Claim 3, the application serv-

20

25

er (35) further comprising:

a comparison module (62) comparing an initial measure selected from the one or more site specific collected measures sets (215) to a sibling measure (61), or to a peer measure (60), selected from the one or more other site specific collected measures sets (215); the initial measure and the sibling measure (61) both relating to the same type of patient information, or the initial measure relating to a different type of patient information than the peer measure (60).

A system according to Claim 3, the application server further comprising:

a derivation module (63) determining an initial derived measure using at least one measure selected from the one or more site specific collected measures sets (215) and determining a sibling derived measure using at least one measure selected from the one or more other site specific collected measures sets, the initial derived measure and the sibling derived measure both relating to the same type of derived patient information; and a comparison module (62) comparing the initial derived measure to the sibling derived measure

 A system according to Claim 3, the application server (35) further comprising:

derived measure and/or a peer derived measure using at least one measure selected from the one or more other site specific collected measures sets (215); and a comparison module (62) comparing one of, an initial measure selected from the one or more site specific collected measures sets (215), or the initial derived measure, to one of a peer measure selected from the one or more site specific collected measures sets (215), or the peer derived measure; the initial measure or initial derived measure relating to a different type of patient information than the patient information to which the peer or peer derived measure relates.

a derivation module (63) determining an initial

8. An system according to Claim 1, further comprising:

the database (17) further storing a reference baseline (209) comprising recorded measures which each relate to patient information recorded during an initial time period and comprise either medical device measures or derived measures calculable therefrom; and a database module (51) obtaining at least one of the at least one recorded measure and the at least one other recorded measure from the retrieved reference baseline.

 A system according to Claim 3, wherein the one or more other site specific collected measures sets (215),

> are stored in the patient care record for the individual patient for whom the patient care indicator (54) has been determined,

> or are stored in the patient care records for a group of one or more other individual patients.

- 10. A system according to Claim 1, further comprising: a collection client (14) communicatively interposed between the medical device (12) and network server (31), the collection client retrieving the collected measures set (50) and downloading the collected measures set from the collection client into a network server.
- A system according to Claim 1, the application server (35) further comprising:

a feedback module (55) providing tiered feedback comprising:

at a first level of feedback (150,151), communicating an interpretation of the patient status indicator (54) to the individual patient (11); at a second level of feedback (152,153), communicating a notification of potential medical concern based on the patient status indicator to the individual patient; at a third level of feedback (154,155), communicating a notification of potential medical concern based on the patient status indicator to medical personnel (212) in local proximity to the individual patient; and at a fourth level of feedback (156,157), communicating a set of reprogramming instructions based on the patient status indicator to the

12. A system according to Claim 11, wherein the feed-back comprises at least one of the group consisting of a peer group status indicator, a historical status indicator, a trend indicator, a medicinal efficacy indicator, and a wellness indicator.

medical device (12).

 A system according to Claim 1, the application server (35) further comprising an analysis module (53):

dynamically analyzing the one or more of the collected measures sets (50) in the patient care record for the individual patient, or analyzing the one or more of the collected

10

15

25

30

measures sets (50) in the patient care record for the individual patient in a batch comprising the one or more of the collected measures sets in patient care records for a plurality of individual patients.

14. A method for automated collection and analysis of regularly retrieved patient information for remote patient care, comprising:

> periodically receiving (94) a set (50)of collected measures retrieved (92) on a substantially regular basis from a medical device (12) having a sensor for monitoring at least one physiological measure (71-78) or a quality of life measure (211) of an individual patient (11), the collected measures set comprising individual measures which each relate to patient information recorded by the medical device;

storing (95) the collected measures set into a 20 patient care record for the individual patient within a database (17) organized (91) to store one or more patient care records which each comprise a plurality of the collected measures sets; and

analyzing (96) one or more of the collected measures sets in the patient care record for the individual patient relative to one or more other collected measures sets stored in the database to determine a patient status indicator (54).

15. A method according to Claim 14, further comprising:

> receiving a set of quality of life measures recorded by the individual patient; storing the collected quality of life measures set (211) into the patient care record (205) for the individual patient within the database (17); and determining a change in patient status by comparing at least one recorded quality of life measure to at least one other corresponding re-

16. A method according to Claim 14, further comprising:

corded quality of life measure.

repeatedly receiving (265) one or more collected measures sets which are each recorded by a sensor (202,203,204) which monitors at least 50 one physiological measure of the individual patient (201), each such sensor monitoring a site within the individual patient unique from the site monitored by any other such sensor;

storing (266) each collected measures set organized (261,262) by specific site into the patient care record for the individual patient within the database (17); and

analyzing (269) one or more of the site specific collected measures sets (215) in the patient care record for each site within the individual patient relative to one or more other site specific collected measures sets stored in the database to determine a patient status indicator (54).

17. A method according to Claim 16, wherein

the one or more site specific collected measures sets (215) and the one or more other site specific collected measures sets both store measures collected from the same relative site,

the one or more site specific collected measures sets (215) and the one or more other site specific collected measures sets both store measures collected from a different site.

18. A method according to Claim 16, the operation of analyzing the one or more site specific collected measures sets further comprising:

comparing an initial measure selected from the one or more site specific collected measures sets (215) to a sibling measure (61), or to a peer measure (60), selected from the one or more other site specific collected measures sets (215); the initial measure and the sibling measure (61) both relating to the same type of patient information, or the initial measure relating to a different type of patient information than the peer measure (60).

19. A method according to Claim 16, the operation of analyzing the one or more site specific collected measures sets further comprising the application server further comprising:

> determining an initial derived measure using at least one measure selected from the one or more site specific collected measures sets (215) and determining a sibling derived measure using at least one measure selected from the one or more other site specific collected measures sets, the initial derived measure and the sibling derived measure both relating to the same type of derived patient information; and comparing the initial derived measure to the sibling derived measure.

20. A method according to Claim 16, the operation of analyzing the one or more site specific collected measures sets further comprising:

> determining an initial derived measure and/or a peer derived measure using at least one measure selected from the one or more other

site specific collected measures sets (215); and comparing one of, an initial measure selected from the one or more site specific collected measures sets (215), or the initial derived measure, to one of a peer measure selected from the one or more site specific collected measures sets (215), or the peer derived measure; the initial measure or initial derived measure relating to a different type of patient information than the patient information to which the 10 peer or peer derived measure relates.

21. A method according to Claim 14, further compris-

retrieving a reference baseline (209) comprising recorded measures which each relate to patient information recorded during an initial time period and comprise either medical device measures or derived measures calculable 20 therefrom: and

obtaining at least one of the at least one recorded measure and the at least one other recorded measure from the retrieved reference baseline.

22. A method according to Claim 16, wherein the one or more other site specific collected measures sets (215),

> are stored in the patient care record for the individual patient for whom the patient care indicator (54) has been determined,

> or are stored in the patient care records for a group of one or more other individual patients. 35

23. A method according to Claim 14, further comprising:

providing tiered feedback comprising:

at a first level of feedback (150,151), communicating an interpretation of the patient status indicator (54) to the individual patient (11); at a second level of feedback (152,153), communicating a notification of potential medical concern based on the patient status indicator to the individual patient; at a third level of feedback (154,155), communicating a notification of potential medical concern based on the patient status indicator to 50 medical personnel (212) in local proximity to the individual patient; and at a fourth level of feedback (156,157), communicating a set of reprogramming instructions based on the patient status indicator to the 55

24. A computer program which when running on a com-

medical device (12).

puter, network or server system, is adapted to perform a method as claimed in any one of claims 14 to 23.

25. A computer-readable storage medium, having encoded thereon a computer program according to claim 24.

15

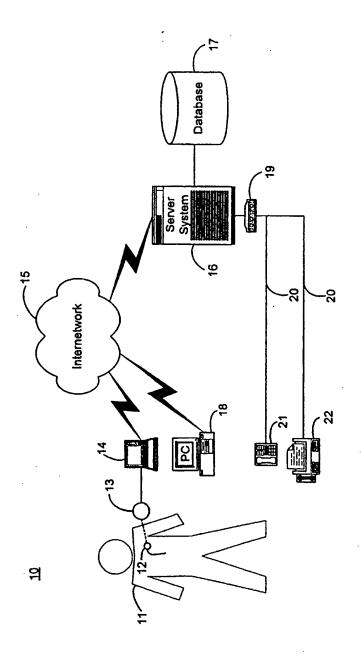
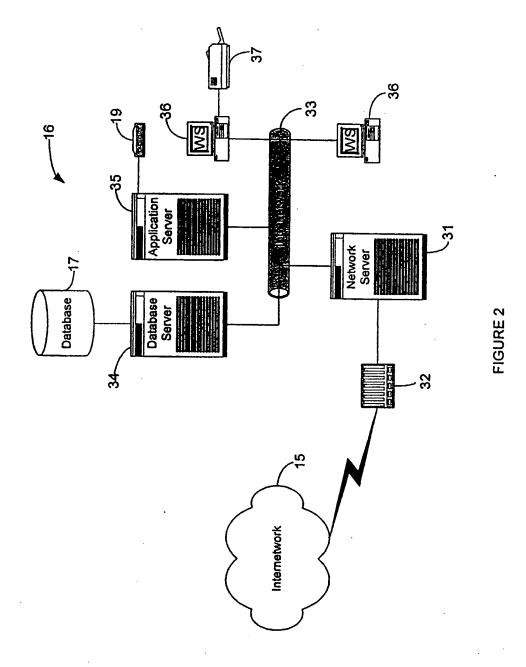


FIGURE .



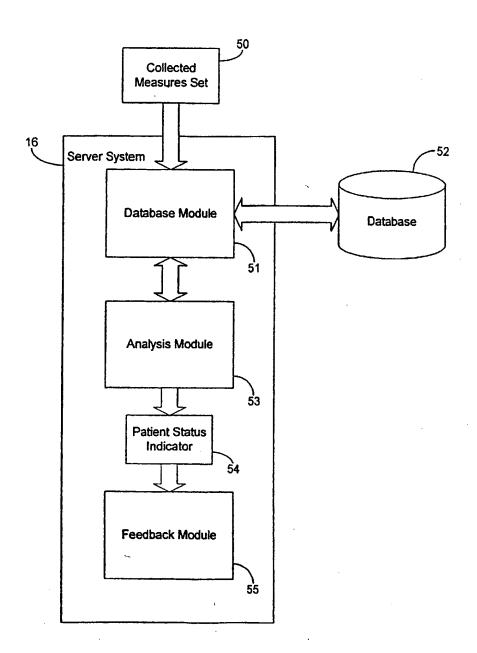


FIGURE 3

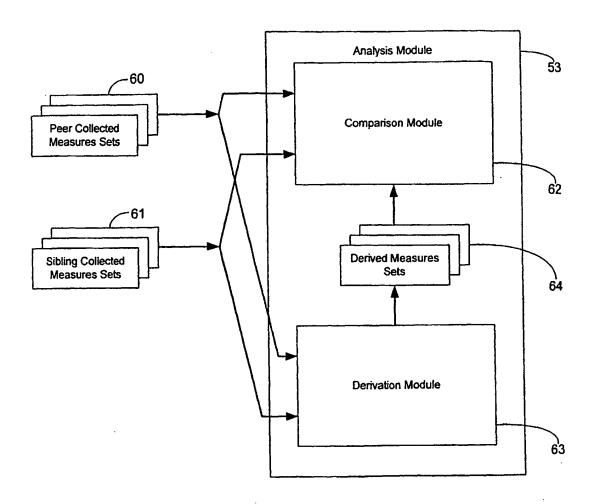


FIGURE 4

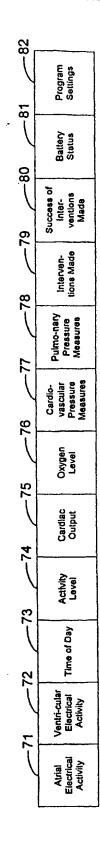


FIGURE 5

## EP 1 057 448 A1

## Patient 1

Set 0				Set n-2	Set n-1	Set n
X <sub>0</sub>	•	٠	•	X <sub>n-2</sub>	X <sub>n-1</sub>	X <sub>n</sub>
Y <sub>0</sub>	•	•	•	Y <sub>n-2</sub>	Y <sub>n-1</sub>	Yn
Z <sub>0</sub>	•	•	•	Z <sub>n-2</sub>	$Z_{n-1}$	Z <sub>n</sub>

 $time \rightarrow$ 

## Patient 2

	Set 0				Set n-2	Set n-1	Set n
•	X <sub>0'</sub>	•	•	•	X <sub>n-2'</sub>	X <sub>n-1'</sub>	X <sub>n</sub> ·
	Y <sub>0'</sub>	•	•	•	Y <sub>n-2'</sub>	Y <sub>n-1'</sub>	Y <sub>n'</sub>
•	Z <sub>0</sub> ·	•	•	•	Z <sub>n-2'</sub>	Z <sub>n-1'</sub>	Z <sub>n</sub> ·

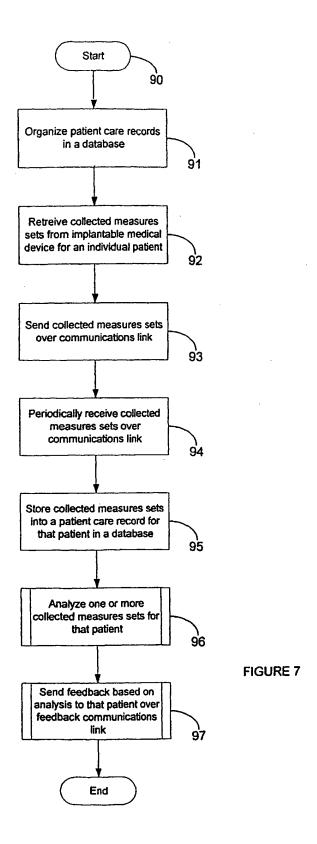
time →

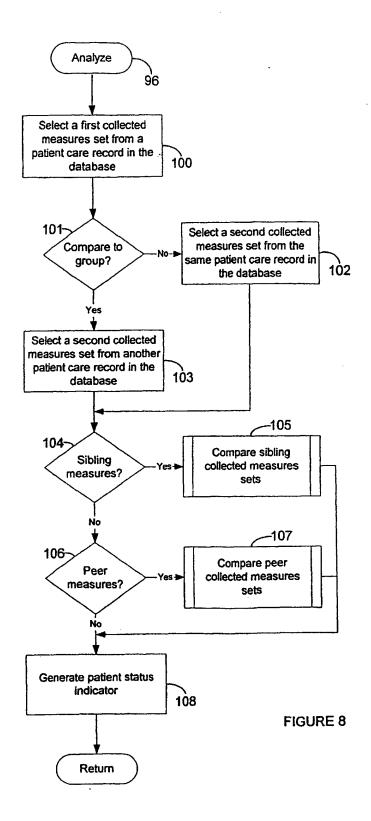
## Patient 3

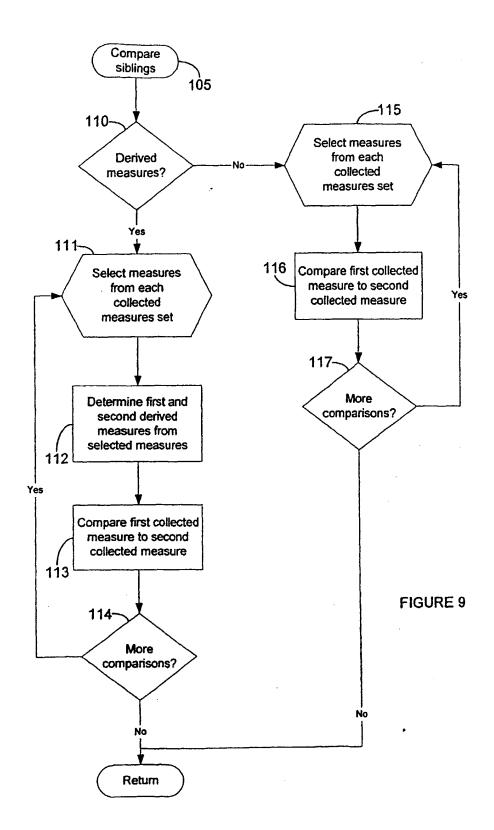
	Set 0				Set n-2	Set n-1	Set n
•	Xor	•	٠	•	X <sub>n-2"</sub>	X <sub>n-1"</sub>	X <sub>n"</sub>
-	Y <sub>0'</sub> .	•	•	•	Y <sub>n-2"</sub>	Y <sub>n-1"</sub>	Y <sub>n"</sub>
•	Z <sub>0"</sub>	•	•	•	Z <sub>n-2"</sub>	Z <sub>n-1"</sub>	Z <sub>n"</sub>

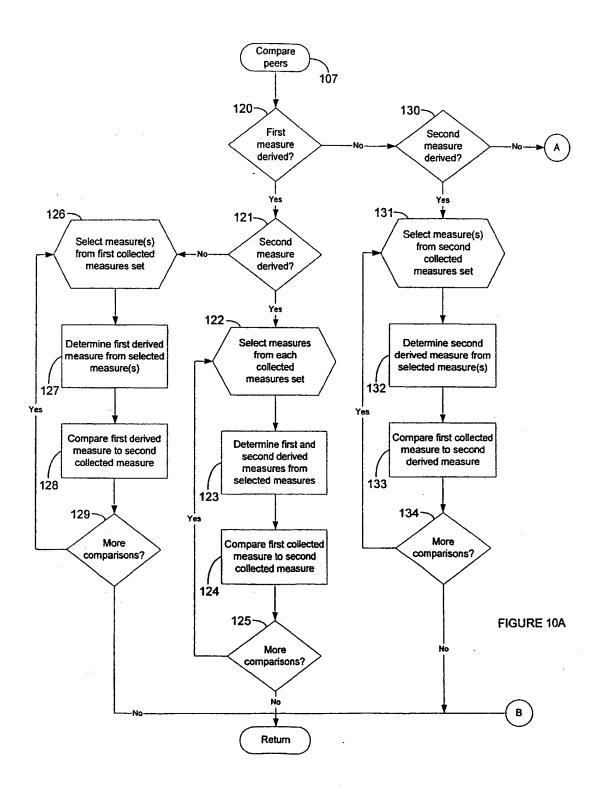
time →

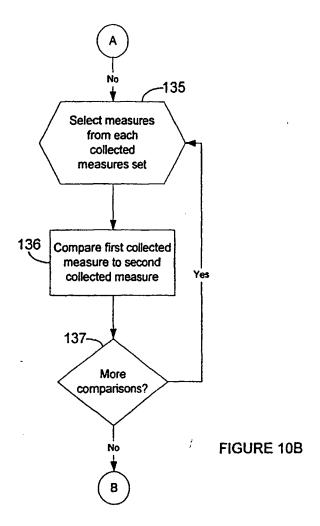
FIGURE 6

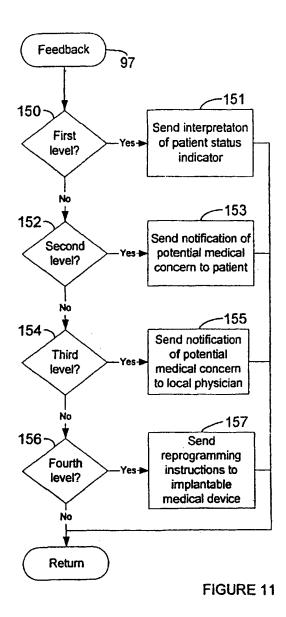


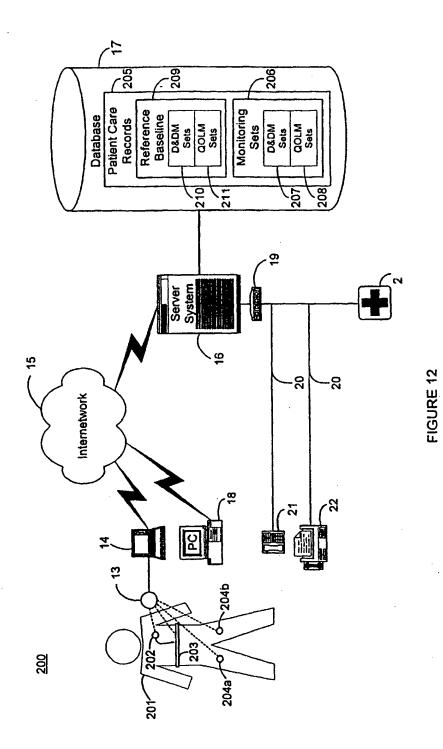












29

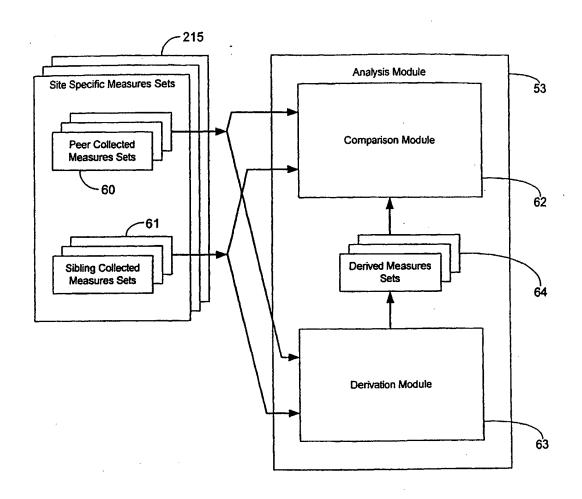


FIGURE 13

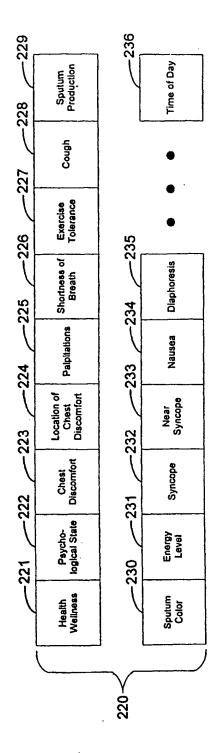


FIGURE 14

									•												
	Set n		$X_{n_1}$	$X_{n,\lambda}$	$Z_{n,i}$		$X_{n_b}$	$Y_{a_{m{b}}}$	$Z_{n_{\theta}}$				Set n		."X	$X_{n,1}$	$Z_{n_A}$		χ	$Y_{n_{o}}$	Z
	Set n-1   Set n		$X_{n-l_{\lambda}}$	$Y_{n-1'_{A}}$	$Z_{n-1, i}$		$X_{n-1, \nu}$	$V_{n-1}$	$Z_{n-1,s}$				Set n-1		".1-"X	Y,-1,	Z		X,-1,0	$Y_{n-1}$	$Z_{n-\mathfrak{l}_{o}^{-}}$
Patient 2	Set <i>n</i> -2	Site A	$X_{n-2,i}$	$Y_{n-2,i}$	$Z_{n-2,i}$	Site B	$X_{n-2i_n}$	$Y_{n-1,s}$	$Z_{n-2i}$			Patient 3	Set n-2	Site A	$X_{n-2,i}$	Y2,	$Z_{n-2,i}$	Site D	$X_{n-2}$	$Y_{n-2}$	$Z_{n-2\tilde{o}}$
			•	•	•		•	•	•						$\cdot$	•	•		•	•	•
•			•	•	•		•	•	•						•	•	•		•	·	·
			•	•	•		•	•	•						•	•	•		•	•	•
	Set 0		$X_{o'_{i}}$	Y <sub>0</sub> ,	Z <sub>0,</sub>		× o,	Y.o.	Z <sub>oʻs</sub>	figure 1			Set 0		× <sub>0</sub> .	70.7	Z <sub>0</sub> ,		$X_{o\overset{\circ}{\circ}}$	Y <sub>6</sub> ,	$Z_{0ar{b}}$
																				,	
÷	Set n		$X_{n_{\star}}$	$Y_{n_{\lambda}}$	$Z_{n_4}$		× ;	I'ns	L"0	$X_{n_c}$	7,	Znc	,								

Set n-2 | Set n-1 Site A

Set 0

Patient 1

X "-1"

X = 2,

 $Y_{n-1,s}$ 

 $X_{n-1}$ 

X 1-18

 $Y_{n-1_B}$ 

 $\begin{array}{c} Y_{n-2,a} \\ Z_{n-2,a} \end{array}$ 

 $Y_{0_B}$ 

 $Z_{n-1}$ 

 $\frac{Y_{n-2,a}}{Z_{n-2,a}}$ Site B

20,2

 $Z_{n-1}$ 

FIGURE 15

time →

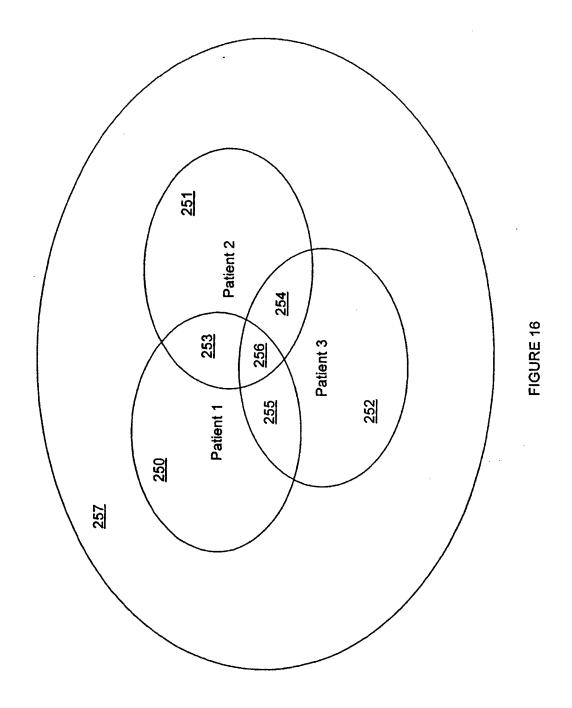
X 1-1c Y 1-1c

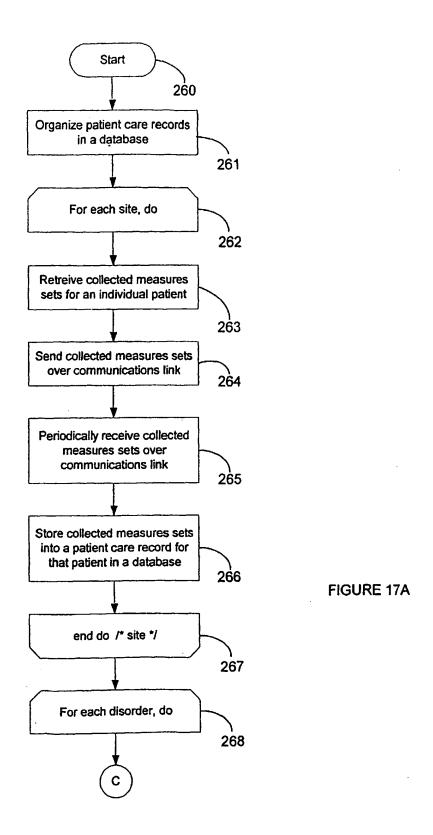
Y 1-1c

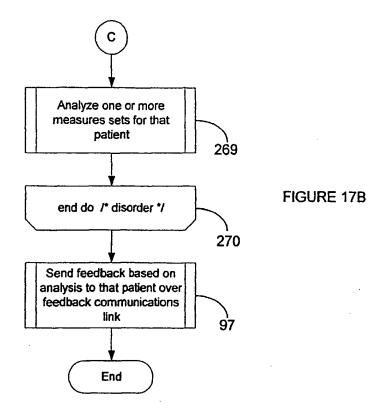
time →

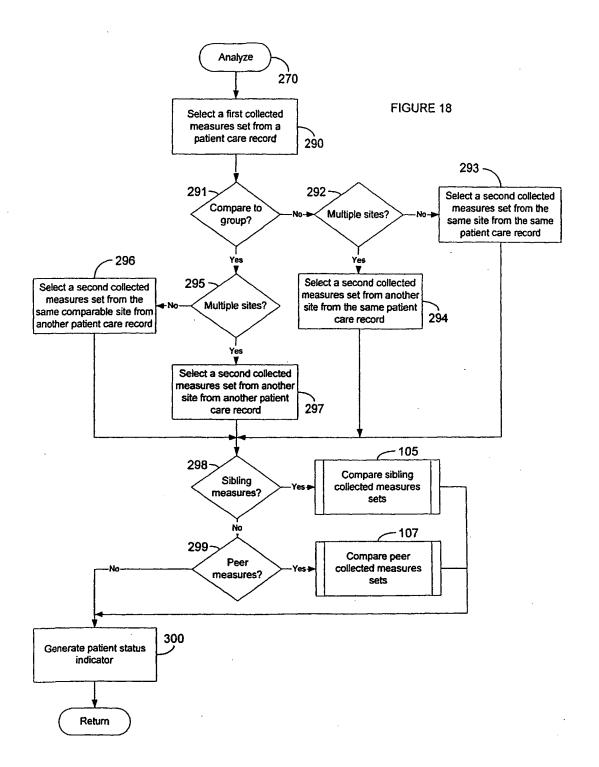
X 1-2c

Site C











## EUROPEAN SEARCH REPORT

Application Number

Category		indication, where appropriate,	Relevant	CLASSIFICATION OF THE
X Y A	US 5 713 350 A (YO 3 February 1998 (1 * abstract *	KOTA ET AL)	1,14 11,12 2-10,13,	A61B5/00 G06F19/00
Y	US 5 331 549 A (CR 19 July 1994 (1994 * abstract *	and the second s	11,12	
X A	US 5 778 882 A (RA 14 July 1998 (1998	-07-14)	1,14	
^		0 - column 3, line 57 * 2 - column 6, line 58;	2-13, 15-25	
X A			1,14 2-13, 15-25	TECHNICAL FIELDS SEARCHED (Int.CL7) A61B G06F
X A	* abstract * US 5 390 238 A (KII 14 February 1995 () * column 1, line 50 figures 1-5 *		1,14 2-13, 15-25	
	The present search report has	<u> </u>		
		Date of completion of the search		Examiner
X : partic Y : partic docur A : techn	THE HAGUE  TEGORY OF CITED DOCUMENTS  adarly relevant if taken alone adarly relevant if combined with anoment of the same category  tological background written disclosure	E : earlier patent doc after the filing date	underlying the in ument, but publist the application of other reasons	hed on, or

O FOHM 1503 03.82 (P

## EP 1 057 448 A1

## ANNEX TO THE EUROPEAN SEARCH REPORT ON EUROPEAN PATENT APPLICATION NO.

EP 00 20 1939

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report. The members are as contained in the European Patent Office EDP file on The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

19-09-2000

cite	Patent document ed in search repo		Publication date	Patent family member(s)	Publication date
US	5713350	Α	03-02-1998	JP 9070390 A	18-03-199
US	5331549	Α	19-07-1994	NONE	
US	5778882	Α	14-07-1998	AU 5356996 A	11-09-199
				US 6095985 A	01-08-200
				WO 9625877 A	29-08-199
US	5704366	Α	06-01-1998	AU 703391 B	25-03-199
				AU 2646395 A	18-12-199
				CA 2190283 A	30-11-199
				EP 0765507 A	02-04-199
				JP 10500598 T	20-01-199
				WO 9532480 A	30-11-199
				US 5626144 A	06-05-199
				US 5549117 A	27-08-199
				US 5732709 A	31-03-199
US	5390238	A	14-02-1995	NONE	

For more details about this annex : see Official Journal of the European Patent Office, No. 12/82

# This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

□ BLACK BORDERS
□ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
□ FADED TEXT OR DRAWING
□ BLURRED OR ILLEGIBLE TEXT OR DRAWING
□ SKEWED/SLANTED IMAGES
□ COLOR OR BLACK AND WHITE PHOTOGRAPHS
□ GRAY SCALE DOCUMENTS
□ LINES OR MARKS ON ORIGINAL DOCUMENT
□ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

## IMAGES ARE BEST AVAILABLE COPY.

**□** OTHER: \_\_\_\_\_

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.